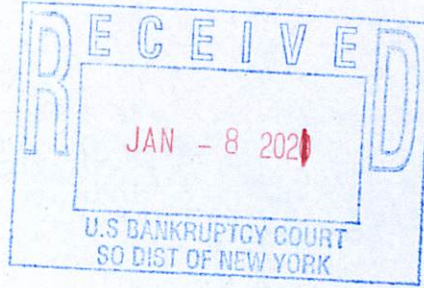


United States Bankruptcy Court  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408  
United States



Bank Vontobel AG  
Gotthardstrasse 43  
8022 Zurich  
T +41 58 283 50 56  
F +41 58 283 5160  
roger.fivat@vontobel.com

CHE-116.300.767 MWST (VAT)

1/1 Zurich, December 21, 2020

## Evidence of Transfer of Claim

Dear Sir or Madam

Enclosed please find the form "Evidence of Transfer of Claim" with 36 positions.

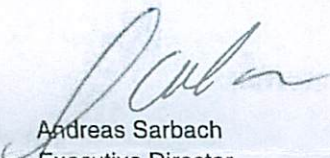
Please note, that the mentioned Lehman Products are deposited in our bank.

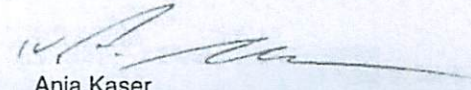
Our claim number is: 67373

If you have any questions, do not hesitate to contact us.

Best regards

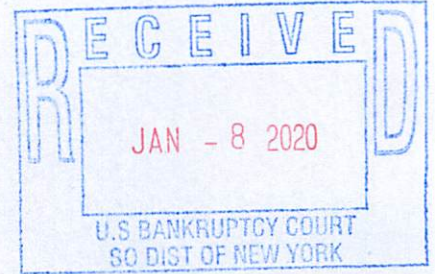
**Bank Vontobel AG**

  
Andreas Sarbach  
Executive Director

  
Anja Kaser  
Authorized Officer







Form 210A (10/06)

## United States Bankruptcy Court

Southern District Of New York

In re Lehman Brothers Holdings Inc., et al., Debtors,

Case No. 08-13555 (JMP)

### TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence, attached hereto, and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

UBS AG, Zurich / Switzerland

Name of Transferee

Name and Address where notices  
to transferee should be sent:

Phone: \_\_\_\_\_

Last Four Digits of Acct #: \_\_\_\_\_

Name and Address where transferee  
payments should be sent (if different  
from above):

Phone: \_\_\_\_\_

Last Four Digits of Acct #: \_\_\_\_\_

Bank Vontobel AG, Zurich / Switzerland

Name of Transferor

Court Claim # (if known): 67373.15

Date Claim Filed: not known

Amount of Claim: to be determined

Portion of Claim Transferred (see  
Schedule I): \_\_\_\_\_

Phone: \_\_\_\_\_

Last Four Digits of Acct. #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: \_\_\_\_\_

Transferee/Transferee's Agent

Date: December 21, 2020

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

Bank Vontobel AG  
Gotthardstrasse 43  
CH-8022 Zürich





**EVIDENCE OF TRANSFER OF CLAIM**

**TO: THE DEBTOR AND THE BANKRUPTCY COURT**

For value received, the adequacy and sufficiency of which are hereby acknowledged, **BANK VONTOBEL AG** ("Transferor") unconditionally and irrevocably transferred to **UBS AG**, ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim (**Claim No. 67373.15**) **SEVERAL** in nominal value related to the security with International Securities Identification Number listed on Schedule I hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS  
EXECUTED ON October 21, 2010.

Bank Vontobel AG

By: 

Name: Andreas Sarbach

Title: Executive Director

By: 

Name: Anja Kaser

Title: Authorized Officer



**SCHEDULE I**

**Lehman Programs Securities Related to Transferred Portion of Claim:**

ISIN	Court Claim #	Date Claim Filed	Issuer	Units/Amount of Claim related to Security
CH0027120853	67373.15	October 29, 2009	Lehman Brothers Treasury Co. BV	USD 35,153.02
XS0223590612	67373.15			USD 31,221.49
XS0232035534	67373.15			USD 144,641.35
XS0260445530	67373.15			USD 8,455.94
XS0345288459	67373.15			USD 774,941.79
CH0027120606	67373.15			USD 35,681.71
CH0029197156	67373.15			USD 58,806.58
XS0268576609	67373.15			USD 76,539.92
XS0318056354	67373.15			USD 95,448.57
XS0264966671	67373.15			USD 40,000.00
CH0034774536 SPLITTIN	67373.15 FROM	October 29, 2009 TOTAL ALLOW	Lehman Brothers Treasury Co. BV AMOUNT USD 734,238.82	USD 257,670.17
XS0338495087	67373.15			USD 53,122.92
XS0270828584	67373.15			USD 18,270.39
CH0027120812	67373.15			USD 272,073.02
XS0186243118	67373.15			USD 342,521.77
XS0242136413	67373.15			USD 49,062.35
XS0257101856	67373.15			USD 75,212.61
XS0286181077	67373.15			USD 74,185.15
CH0036891411	67373.15			USD 7,797.68
XS0332199115	67373.15			USD 12,626.41
CH0027120820	67373.15			USD 38,315.87
CH0034783644	67373.15			USD 151,647.25
XS0279950637	67373.15			USD 74,185.15
XS0302351266	67373.15			USD 26,813.89
XS0268992145	67373.15			USD 22,000.00
CH0039308652	67373.15			USD 15,251.61
XS0248620899	67373.15			USD 988,124.69
XS0328873681	67373.15			USD 8,920.43
XS0326427480	67373.15			USD 111,312.41
XS0200265709	67373.15			USD 465,510.87
CH0027120697	67373.15			USD 170,292.69
CH0027120713 SPLITTING	67373.15 FROM	October 29, 2009 TOTAL ALLOW	Lehman Brothers Treasury Co. BV AMOUNT USD 392,000.00	USD 292,000.00
CH0027120994	67373.15			USD 153,218.53
XS0232037159	67373.15			USD 80,293.89
CH0036891247	67373.15			USD 18,448.38
CH0027120838	67373.15			USD 193,519.76